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PATENT

DOCKET NO.: CRTW-0004

202-27-1  
203-401

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Shawn D. Cartwright

Serial No.: 09/676,448

Group Art Unit: 2161

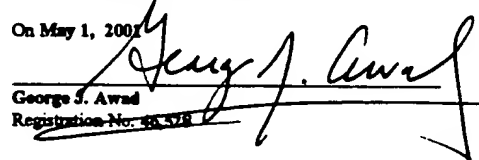
Filed: September 29, 2000

Examiner: Not Yet Assigned

For: **SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND  
TRANSACTIONING THE SAME**

I, George J. Awad, Registration No. 46,528 certify that this  
correspondence is being deposited with the U.S. Postal Service as First-  
Class mail in an envelope addressed to the Assistant Commissioner for  
Patents, Washington, D.C. 20231.

On May 1, 2001

  
George J. Awad  
Registration No. 46,528

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**PRELIMINARY AMENDMENT**

Please amend this application as follows:

**IN THE CLAIMS:**

Please add the following claims:

01 -- 44. The system recited in claim 6, wherein said transaction system comprises any  
of a direct-pay transaction system, a credit-based transaction system, an incentive-based  
transaction system, and an auction based transaction system.